

AO 120 (Rev. 3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
filed in the U.S. District Court Delaware on the following ☒ Patents or ☐ Trademarks:

DOCKET NO. CA 06-543	DATE FILED 9/1/2006	U.S. DISTRICT COURT Delaware
PLAINTIFF RONALD A. KATZ TECHNOLOGY LICENSING, L.P.		DEFENDANT RELIANT ENERGY INC., RELIANT ENERGY RETAIL SERVICES LLC, PEPCO HOLDINGS INC., PHI SERVICE CO., DELMARVA POWER & LIGHT CO., DUKE ENERGY CORP. CINERGY CORP.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 4,792,968	12/20/1988	Ronald A. Katz
2 4,930,150	5/29/1990	Ronald A. Katz
3 5,128,984	7/7/1992	Ronald A. Katz
4 5,251,252	10/5/1993	Ronald A. Katz
5 5,351,285	9/27/1994	Ronald A. Katz (Continued)

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK Peter T. Dalleo	(BY) DEPUTY CLERK	DATE 9-1-06
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

CA 06-543 (Continued)

PATENT	DATE OF PATENT	HOLDER OF PATENT
5,684,863	11/4/1997	Ronald A. Katz
5,815,551	9/29/1998	Ronald A. Katz
5,828,734	10/27/1998	Ronald A. Katz
5,898,762	4/27/1999	Ronald A. Katz
5,917,893	6/29/1999	Ronald A. Katz
5,974,120	10/26/1999	Ronald A. Katz
6,148,065	11/14/2000	Ronald A. Katz
6,335,965 B1	1/1/2002	Ronald A. Katz
6,349,134 B1	2/19/2002	Ronald A. Katz
6,424,703 B1	7/23/2002	Ronald A. Katz
6,434,223 B2	8/13/2002	Ronald A. Katz
6,512,415 B1	1/28/2003	Ronald A. Katz
6,678,360 B1	1/13/2004	Ronald A. Katz